

State of Delaware
Public Service Commission
861 Silver Lake Blvd
Cannon Building, Suite 100
Dover, Delaware 19904

RE: Docket #16-0845

Please find the following enclosed:

- Authority to do Business (Delaware Business License)
- Personnel information for Scott Hart, Les Montgomery, and Clare Doyle.
- Verification of Application

Please let me know if you have any questions. I can be reached by email at austin.darden@nrg.com, or by phone at 713-537-2361.

Sincerely,

A handwritten signature in black ink that reads "Austin Darden". The signature is fluid and cursive, with the first name "Austin" and last name "Darden" clearly distinguishable.

Energy Choice Solutions LLC
dba Retail Energy Exchange

Delaware Business License

**State of Delaware
Division of Revenue
Temporary License**

License Number:	2016608423
Business Name:	Energy Choice Solutions LLC
Business Address:	1201 FANNIN ST. HOUSTON, TX 77002 USA

Revenue Code:	Subtype:	Temp License Expiration:	Tax Period:	Business Code:
0101	01	60 Days from Receipt Date	2016	120-BROKER
Receipt Date:	Document Location Number:		Amount:	Officer:
12/15/2016	169235040		\$37.50	(INTERNET ONE STOP LICENSE)



STATE OF DELAWARE
DEPARTMENT OF FINANCE
DIVISION OF REVENUE
820 N. French Street
Wilmington, Delaware 19801
(302) 577-8778

COMBINED REGISTRATION APPLICATION
FOR
STATE OF DELAWARE
BUSINESS LICENSE AND/OR
WITHHOLDING AGENT

THIS FORM MUST BE COMPLETED BY ALL PERSONS OR COMPANIES CONDUCTING BUSINESS ACTIVITIES IN DELAWARE																											
FAILURE TO COMPLETE ALL QUESTIONS MAY RESULT IN DENIAL OF A BUSINESS LICENSE															TEMPORARY FOR OFFICE USE ONLY												
PART A- TO BE COMPLETED BY ALL TAXPAYERS															3-												
1	Enter Employer Identification Number 1- 4 6 - 0 9 0 8 7 7 9										or Social Security Number 2-																
2	Name Energy Choice Solutions LLC										Mailing Address If Different																
3	Trade Name (if Different from Above) Retail Energy Xchange										5																
4	Primary Location Address																										
1201 FANNIN ST.																											
										City					Country												
										State					Zipcode												
City HOUSTON					Country USA					6	If business is Seasonal State Active Months					From Month					To Month						
State TX					Zipcode 77002					7	Accounting Period(Check appropriate Box) Enter Month and Day of Fiscal Year Ending										MO DAY						
										<input checked="" type="checkbox"/> Calendar Year <input type="checkbox"/> Fiscal Year - 12 Month Basis Ending																	
8	If Incorporated Enter State Incorporated TX 9					Date Incorporated					MO AUG	DAY 27	YEAR 2012	10	When did or when will you begin operating in Delaware										MO JUL	DAY 01	YEAR 2016
11	Type of Ownership(Check Appropriate box)																										
<input type="checkbox"/> BANK <input type="checkbox"/> HEADQUARTERS MANAGE CORP <input type="checkbox"/> LIMITED LIAB COMP <input type="checkbox"/> QSBS-QUALIFIED SUB-S SUBS																											
<input type="checkbox"/> COOPERATIVE <input type="checkbox"/> HOLDING/INVESTMENT CO <input type="checkbox"/> LLC NON ELECT INDIVIDUAL <input type="checkbox"/> SOLE PROPRIETOR																											
<input type="checkbox"/> CORP (NOT IN DE) <input type="checkbox"/> INSURANCE COMPANY <input type="checkbox"/> MUNICIPAL GOVERNMENT <input type="checkbox"/> STATE GOVERNMENT																											
<input type="checkbox"/> COUNTY GOVERNMENT <input checked="" type="checkbox"/> LIMITED LIAB COMP-CORPORA <input type="checkbox"/> NON-PROFIT ORGANIZATION <input type="checkbox"/> SUBCHAPTER S CORPORATION																											
<input type="checkbox"/> DOMESTIC CORPORATION <input type="checkbox"/> LIMITED LIAB COMP-NON E C <input type="checkbox"/> OTHER <input type="checkbox"/> WIT AGENT NO BUS IN DE																											
<input type="checkbox"/> DOMESTIC EMPLOYER <input type="checkbox"/> LIMITED LIAB COMP-PARTNER <input type="checkbox"/> OTHER STATE'S GOVERNMENT																											
<input type="checkbox"/> FEDERAL GOVERNMENT <input type="checkbox"/> LIMITED LIABILITY PARTNER <input type="checkbox"/> PARTNERSHIP																											
<input type="checkbox"/> FIDUCIARY(ESTATE-TRUST) <input type="checkbox"/> LIMITED PARTNERSHIP <input type="checkbox"/> PROFESSIONAL ASSOCIATION																											
12	Sub Chapter S Corporations only - Do you have Shareholders that DO NOT reside in Delaware? <input type="checkbox"/> YES <input type="checkbox"/> No																										
13	Parent Company Name NRG Energy Inc.										14	Parent Employer Identification Number 4 1 - 1 7 2 4 2 3 9															
15	Previous Business name										16	Previous Identification Number EIN SSN (Circle One)															
17 Name of Individual who may be contacted regarding tax matters. Cinoski, Stephen										Phone 609 - 524 - 4699					E-mail Address Stephen.Cinoski@nrg.com												
										FAX																	
18 Identify Owners, Partners, Corporate Officers, Registered Agent or Trustees.																											
Name: Last					First					Title					Social Security #												
19 Fully Describe Business Activity (MUST BE COMPLETED)																											
Retail Energy Sales Automation																											

PART B - TO BE COMPLETED BY ALL EMPLOYERS

Every employer making the payment of wages taxable to a resident or non-resident employee working in Delaware is required to withhold state income taxes. Employers may also withhold Delaware state income tax from residents of Delaware who do not work in Delaware.

The filing frequency for a withholding agent is determined by the amount of withholding paid during a "lookback" period. The lookback period is a twelve month period between July 1 and June 30 immediately preceding the calendar year for which the lookback period is determined. The Division of Revenue will determine the amount of tax reported during the lookback period and advise all withholding agents of their withholding filing method. *All withholding agents having no prior record of withholding will file on a monthly basis until the next "Lookback Period".*

Amount of Withholding During "Lookback" Period	Filing Method
\$3,600 or Less	Quarterly
\$3,600 and Less Than \$20,000	Monthly
\$20,000.01 and Greater	Eighth Monthly

1. Will you have employees that work in Delaware, or withhold DE state income tax from DE residents that do not work in DE? ☐ YES ☐ No

Sole proprietors and partners are responsible for filing and paying their own Delaware state taxes. This is done by remitting personal estimated taxes on a quarterly basis. To obtain Personal Estimated Tax Packages; call the individual Master File Unit at (302) 577-8588.

PLEASE NOTE: All employers are also required to register with the Delaware Department of Labor, Unemployment Insurance and report new hires to the Division of Child Support Enforcement.

MAKE CHECK PAYABLE AND MAIL TO: DELAWARE DIVISION OF REVENUE, P.O. BOX 8750, Wilmington, DE 19899-8750

Rev. 9/2000

PART C - TO BE COMPLETED BY TAXPAYERS APPLYING FOR A LICENSE**LICENSE APPLICATIONS WILL NOT BE PROCESSED WITHOUT LICENSE FEE**

LICENSE #1 - NAME AND ADDRESS		REV CODE 0101-01															
1. Enter Federal Employer Identification Number	OR	Social Security Number															
1- 4 6 - 0 9 0 8 7 7 9																	
2. Name <u>Energy Choice Solutions LLC</u>																	
3. Trade Name if Different from Above <u>Retail Energy Xchange</u>																	
4. Business Location Address		5. Mailing Address if Different															
<u>1201 FANNIN ST.</u>		<u>1201 FANNIN ST.</u>															
		<u>ATTN: AUSTIN DARDEN</u>															
City	State	Zipcode															
<u>HOUSTON</u>	<u>TX</u>	<u>77002</u>															
6. Describe your business activity <u>BROKER</u>		Bus Code <u>FOR OFFICE USE ONLY</u> Suffix															
7. When did or when will you begin operating in Delaware? <u>07/01/2016</u>																	
8. For what calendar year are you applying? Calendar year ending 12/31/ <u>2016</u>																	
<input type="checkbox"/> Check if 65 years or older and whose total sales are less than \$10,000 (25% of Annual Fee)		<table border="0" style="width: 100%;"> <tr> <td>Proration Basis for Initial Licenses</td> <td>Jan - 100%</td> <td>Apr - 75%</td> <td>Jul - 50%</td> <td>Oct - 25%</td> </tr> <tr> <td>Multiply Annual Fee by Respective Month</td> <td>Feb - 92%</td> <td>May - 67%</td> <td>Aug - 42%</td> <td>Nov - 17%</td> </tr> <tr> <td>Percentage and Circle Month Started</td> <td>Mar - 83%</td> <td>Jun - 58%</td> <td>Sep - 33%</td> <td>Dec - 8%</td> </tr> </table>	Proration Basis for Initial Licenses	Jan - 100%	Apr - 75%	Jul - 50%	Oct - 25%	Multiply Annual Fee by Respective Month	Feb - 92%	May - 67%	Aug - 42%	Nov - 17%	Percentage and Circle Month Started	Mar - 83%	Jun - 58%	Sep - 33%	Dec - 8%
Proration Basis for Initial Licenses	Jan - 100%	Apr - 75%	Jul - 50%	Oct - 25%													
Multiply Annual Fee by Respective Month	Feb - 92%	May - 67%	Aug - 42%	Nov - 17%													
Percentage and Circle Month Started	Mar - 83%	Jun - 58%	Sep - 33%	Dec - 8%													

PLEASE READ PART C INSTRUCTIONS BEFORE COMPLETING COMPUTATION OF THE FEE.

COMPUTATION OF FEE \$ <u>75.00</u>	X	<u>1</u>	= \$ <u>75.00</u>	X	<u>0.5</u>	= \$ <u>37.50</u>
Annual Fee		# of units if Applicable	Total License(annual fee X # of units)		Prorated Percentage	Total Fee

9. AMOUNT DUE MUST BE REMITTED WITH THIS APPLICATION. (Total Fee from License #1)

Michael A Darden-Internet Initial
Application-16L1FYI

CLERK

12/15/2016

Signature

Title

Date

I declare under penalties as provided by law that the information on this application is true, correct and complete

Remittance ID	<u>16L1FYI1215201614561185</u>
DLN(s)	<u>169235040</u>
License Number(s)	<u>2016608423</u>

DELAWARE DEPARTMENT OF LABOR
OFFICE OF WORKERS' COMPENSATION

On behalf of the company / individual named below, I (we) certify that the workers' compensation insurance coverage is in effect for all employees as required under the provisions of the workers' compensation laws of this state.

Name of Employer	Energy Choice Solutions LLC, Retail Energy Xchange
Fed. E.I./S.S.#	46-0908779
Address	1201 FANNIN ST.
City, State, Zip	HOUSTON, TX, 77002.

CHECK THE APPROPRIATE LINE

 I/we have no employees

 ✓ I/we have employees (complete insurance information below):

Name of Insurance Carrier ACE Fire Underwriters Insurance

Company _____

Construction Industry Only:

_____ Sole proprietor/partner working as an independent contractor
pursuant to 19DelC§2311

Provide name of insurance carrier (see above)

Covered under general contractor's policy

 Limited liability corporation (LLC) maximum 4 members

____ Sole proprietor/partner not working as an independent contractor pursuant to 19DelC§2308(b)

*Under penalties of perjury
I (we) declare that this
Document is true and correct.*

Michael A Darden-Internet Initial Application-16L1FYI

Signature

CLERK / 12/15/2016

Title/Date

Division of Revenue is to forward a completed copy of this form to the Office of Workers' Compensation.

*For assistance in completing this form please contact the Office of Workers' Compensation at:
Wilmington 302-761-8200 Millford 302-422-1392*

Personnel

Scott Hart

Mr. Scott Hart serves as of President Energy Choice Solutions and Vice President of NRG Business Solutions. Scott has over 25 years of national retail energy industry experience. Prior to NRG, Mr. Hart served as President of Commercial Services for Green Mountain Energy Company for 8 years. Mr. Hart began his career in 1988 with Lone Star Gas and later moved to TXU Energy Services where he held several retail executive management positions. Mr. Hart's career has been one of leading innovation in the retail energy industry. Mr. Hart received a Masters of Business Administration from Baylor University and Bachelor of Science Tarleton State University.

Les Montgomery

Les Montgomery serves as Director of Operations for Energy Choice Solutions. Les has 15 years of national retail energy industry experience. Prior to NRG, Mr. Montgomery served as Vice President of Commercial Services for Green Mountain Energy Company for 8 years. Mr. Montgomery began his career in 2002 at the beginning of deregulation in ERCOT, with Texas Commercial Energy. Core experience includes pricing model design, pricing desk, transactions and billing operations. Mr. Montgomery received a Bachelor of Science from the University of North Texas in 1992.

Clare H. Doyle

Clare Doyle is Deputy Regional General Counsel for NRG Energy's Texas Retail companies. She is responsible for all Texas retail legal matters, providing support for the day-to-day business, as well as projects and strategic initiatives. Clare joined Reliant's legal department in 1998, serving as senior counsel responsible for commercial transactions in the wholesale group before moving to the retail group in 1999 when the Texas electric market was preparing for competition. Since then, she has assumed positions of increasing responsibility at Reliant and, after its 2009 acquisition of Reliant, at NRG, as the electric retail business has become a larger part of NRG's overall organization.

Prior to joining Reliant/NRG, Clare was Vice-President and Corporate Secretary at Kinder Morgan Energy Partners, L.P., where she managed all legal work for the master limited partnership, starting out at the inception of the company. She also worked at Enron Operations Corp. and the company then known as PanEnergy Corp. (now Spectra Energy), where she handled various business transactions related to natural gas pipelines.

Clare holds a Bachelor of Arts degree from Newcomb College of Tulane University and a Juris Doctor degree from the University Of Houston College Of Law. She is admitted to practice in the state of Texas

CLARE H. DOYLE
2232 Tangley
Houston, Texas 77005
713-528-6175 (home)
713-537-2251 (office)
713-305-3367 (cell)

PROFESSIONAL EXPERIENCE

October 1998 to present: NRG Energy, Inc., 1201 Fannin Street, Houston, Texas 77002

March 2010 to present: Deputy Regional General Counsel – Retail. Manage NRG's Houston retail legal team of 4 attorneys; responsible for managing all Reliant retail legal matters, including residential, commercial & industrial, regulatory, operations, and new business ventures, and providing support for the day-to-day business and customer transactions, as well as projects and strategic initiatives. In addition, I work with other members of the retail leadership team to decide policy issues, strategy, and other operational and business matters.

March 2007 to March 2010: Deputy General Counsel, C&I Retail;
March 2005 to March 2007: Assistant General Counsel, C&I Retail. Responsible for managing team of five attorneys and one legal assistant and all aspects of legal work associated with Reliant's commercial and industrial retail business.

November 1999 to March 2005: Senior Counsel, Retail. Responsible for all aspects of legal work associated with all market segments of Reliant's Retail group, from mass markets to large commercial and industrial customers, including commodity contracts, marketing alliances, and asset purchase and sale agreements.

October 1998 to November 1999: Senior Counsel, Commercial transactions, Wholesale group. Drafted and negotiated agreements for Reliant's wholesale group (power origination, energy trading and marketing, and interstate gas pipelines), including power purchase agreements, gas trading and origination contracts, and agreements for the purchase and sale of assets.

April 1997 to October 1998: Vice President, Corporate Counsel, and Corporate Secretary to Kinder Morgan Energy Partners, L.P., a master limited partnership that, at the time, owned and operated one of the largest product pipeline systems in the United States, several bulk terminal facilities, and other interests.

Managed all in-house and outside legal work for Kinder Morgan's mid-continent region, including all aspects of operations and commercial activities, transactional work, developing new projects, drafting and negotiating contracts, managing litigation, and employment matters.

Managed Corporate Secretary function, including corporate records and governance matters.

Negotiated and managed legal work related to closing Kinder Morgan's \$1.4 billion acquisition of the Santa Fe Pacific Pipelines system. Negotiated and managed legal work related to closing Kinder Morgan's \$22 million acquisition of its GRT Coal Terminal in Kentucky.

1996 to April 1997: Counsel to Enron Operations Corp.

Negotiated and closed various business transactions, including sales of gathering assets.
Drafted contracts and other agreements related to gathering and processing transactions.

Managed and supervised litigation arising from Florida Gas Transmission Phase III expansion project.

1988 to 1996: Senior Counsel to PanEnergy Corp. (now Spectra Energy Corp.)

Negotiated terms and conditions of various business transactions, including sales of segments of pipeline and equipment, sales of office buildings, raw land, a corporate golf course, and other miscellaneous properties.

Negotiated successful resolution of several complex corporate liabilities to which PanEnergy was successor-by-merger, including long-term hospital leases; drilling rig leases, termination of boat charters, and wetlands banking of corporate real property.

Managed commercial and insured litigation involving the company, including shareholder litigation filed after the Texas Eastern-Panhandle merger.

1985 to 1988: Corporate Counsel to The Horne Company, a premier commercial real-estate brokerage firm in Houston until 1989.

Managed all legal, litigation, and corporate matters for the company.

1983 to 1985: Associate to Kirklin & Boudreaux.

Litigation associate.

EDUCATION:

1982: University of Houston Law Center, Houston, Texas - J.D.

1976: Sophie Newcomb College of Tulane University, New Orleans, Louisiana – B.A.

1974 – 1975: Tulane-Newcomb Junior Year Abroad Honors Program in Paris at the Sorbonne (University of Paris)

PROFESSIONAL ASSOCIATIONS:

State Bar of Texas

Houston Bar Association

Gulf Coast Power Association

Association of Women Attorneys (AWA)

Texas Bar Foundation, Sustaining Life Fellow

HONORS:

AWA Premier Women in Law in 2013

Finalist, Houston Business Journal's Best Corporate Counsel (Deputy General Counsel), 2014 and 2015

COMMUNITY INVOLVEMENT:

Director, Boys and Girls Clubs of Greater Houston

Volunteer, HBA LegalLines

Verification

VERIFICATION

STATE OF Texas)
) SS
COUNTY OF Harris)

On this 15 day of December, 2016, personally came before me, the subscriber, a Notary Public in and for the state and county aforesaid, Les Montgomery [name of individual who is signing] as the Director of Operations [authority of individual or title of individual who is signing, e.g., President, Vice President, Sole Member/Manager, Trustee, etc.] of Energy Choice Solutions [name of company or entity that is filing], known to me personally to be such or having presented to me satisfactory evidence of identity, and acknowledged this document to be [his or her] act and deed and the act and deed of such LLC [type of filer, e.g. corporation, limited liability company, etc.], that the signature of such individual is in [his or her] own proper handwriting, and that the facts set forth in this application [type of filing, e.g., application, petition, etc.] are true and correct to the best of [his or her] knowledge, information, and belief.

Les Montgomery
Signature of individual
Printed Name: Les Montgomery

SIGNED AND SWORN (OR AFFIRMED) before me on this 15 day of December, 2016, by Les Montgomery (name of individual who signed above).

Nina Tillett
Signature of Notarial Officer
NOTARY PUBLIC
Title (e.g., Notary Public)

SEAL

My Commission Expires:

August 26, 2017

